

MoCo Cryptocurrency Litigation Tracker

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SEC Litigation

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
SEC v. Saint James Holding and Investment Company Trust and Jeffrey James	10/5/2018	C.D.Cal.	18-mc-135	15 U.S.C. § 77s(c), 15 U.S.C. § 78u(b) (application for order compelling compliance with investigative subpoenas)	
SEC v. Blockvest et al.	10/3/2018	S.D.Cal.	18-cv-2287	Exchange Act § 10(b) and Rule 10b-5; Securities Act § 17(a); Securities Act §§ 5(a) and 5(c); Exchange Act § 5	

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
<u>SEC v. 1Pool Ltd. a.k.a. 1Broker and Patrick Brunner</u>	9/27/2018	D.D.C.	18-cv-02244	Securities Act § 5(c); and Exchange Act §§ 6(l) and 15(a)(1)	
<u>SEC v. Jesky et al.</u>	7/2/2018	S.D.N.Y.	18-cv-5980	Securities Act §§ 5(a) and 5(c)	<u>“Without admitting or denying guilt, Defendants agree to return approx. \$1.4mm in gains and pay penalties”</u>
<u>SEC v. Titanium Blockchain Infrastructure Services et al.</u>	5/22/2018	C.D.Ca.	18-cv-4315	Exchange Act § 10(b) and Rule 10b-5; Securities Act § 17; Securities Act §§ 5(a) and 5(c); Exchange Act § 5	<u>Preliminary Injunction Order</u> <u>Stipulation for Modification of Asset Freeze</u>
<u>SEC v. Sharma and Farkas</u>	4/2/2018	S.D.N.Y.	18-cv-02909	Exchange Act § 10(b) and Rule 10b-5(a)-(c); Securities Act § 17(a)(1)-(3); Securities Act § 5(a) and (c); aiding and abetting	
<u>SEC v. Montroll and BitFunder</u>	2/21/2018	S.D.N.Y.	18-cv-1582	Exchange Act § 10(b) and Rule 10b-5; Securities Act § 17; Securities Act §§ 5(a) and 5(c); Exchange Act § 5; control person liability	

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
SEC v. AriseBank et al.	1/25/2018	N.D.Tx.	18-cv-186	Securities Act §§ 5(a) and 5(c); Securities Act § 17; Exchange Act § 10(b) and Rule 10b-5; aiding and abetting	Preliminary injunction granted against Defendant Stanley Ford Order Governing the Sale of Personal Property
SEC v. Plexcorps et al.	12/1/2017	E.D.N.Y.	17-cv-7007	Exchange Act § 10(b) and Rule 10b-5; Exchange Act § 17; Securities Act §§ 5(a) and 5(c); aiding and abetting	Denial of motion to dismiss based on personal jurisdiction
SEC v. ReCoin and DRC World Inc.	9/29/2017	E.D.N.Y.	17-cv-5725	Exchange Act § 10(b) and Rule 10b-5; Securities Act § 17(a); Securities Act §§ 5(a) and 5(c); aiding and abetting	Order granting motion for intervention and a stay
SEC v. Haddow et al.	6/30/2017	S.D.N.Y.	17-cv-4950	Securities Act § 17(a); Exchange Act § 10(b) and Rule 10b-5; aiding and abetting; control person liability; unjust enrichment	Preliminary injunction granted against Defendants and Relief Defendant
SEC v. Garza	12/1/2015	D. Conn.	15-cv-1760	Exchange Act § 10(b) and Rule 10b-5; Securities Act § 17(a); §§ 5(a) and 5(c);	Joint Motion for Approval of Settlement and Entry of Final Judgment

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
<u>SEC v. Shavers and Bitcoin Savings and Trust</u>	7/23/2013	E.D.Tx.	13-cv-416	Securities Act § 17(a); Exchange Act § 10(b) and Rule 10b-5; Securities Act §§ 5(a) and 5(c)	<u>Amended Final Judgment</u>

CFTC Litigation

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
<u>CFTC v. 1Pool Ltd. and Patrick Brunner</u>	9/27/2018	D.D.C.	18-cv-02243	CEA § 4(a); CEA § 4d(a)(1); Regulation 166.3	
<u>CFTC v. Blake Harrison Kantor aka Bill Gordon et al.</u>	4/16/2018	E.D.N.Y.	18-cv-2247	CEA § 2©; CEA § 4c(b) and Regulation 32.2; CEA § 4d(a)(1); CEA § 4c(b) and Regulation 32.4; CEA § 6(c)(1) and Regulation 180.1	<u>Order of Stay of Proceedings</u>

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
<u>CFTC v. McDonnell and CabbageTech</u>	1/18/2018	E.D.N.Y.	18-cv-361	CEA § 6(c)(1) and Regulation 180.1(a)	<u>Memorandum and Order (on CFTC jurisdiction)</u> <u>Memorandum, Findings of Fact, Conclusions of Law, and Directions for Final Judgment and Injunction</u> <u>Final Judgment and Order of Permanent Injunction, Restitution, and Civil Monetary Penalties</u>
<u>CFTC v. Dean and The Entrepreneurs Limited</u>	1/18/2018	E.D.N.Y.	18-cv-345	CEA § 4c(b) and Regulation 32.4; CEA § 4o(1)(A)-(B); § 4m(1) and 4k(2) and Regulation 3.12(a)	<u>Certificate of Default</u> <u>Default Judgment Order</u>
<u>CFTC v. My Big Coin Pay, Inc.</u>	1/16/2018	D.Mass.	18-cv-10077	CEA § 6(c)(1) and Regulation 180.1(a); disgorgement	<u>Memorandum of Decision (on CFTC jurisdiction)</u>

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
CFTC v. Gelfman Blueprint Inc. and Nicholas Gelfman	9/21/2017	S.D.N.Y.	17-cv-7181	CEA § 6(c)(1); Regulation 180.1(a)	<p> Consent order against Gelfman (permanent injunction, restitution of \$492,064.53; civil penalty of \$177,501). Also, the Manhattan District Attorney's office seized a flame thrower. </p> <p> Final Judgment against GBI (permanent injunction; restitution of \$554,734.48; civil monetary penalty of \$1,854,000) </p>

Criminal Proceedings

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
U.S. v. Campos	8/9/2018	S.D.Ca.	18-cr-3554	18 U.S.C. § 1960(a); 31 U.S.C. § 5318(h); 18 U.S.C. § 1956(a)(2)(A); 18 U.S.C. § 371; 31 U.S.C. § 5324(c); 18 U.S.C. § 982 (a)(1) and (b)(1); 31 U.S.C. § 5317(c) (1)(A) and (B)	Guilty plea to operating an unlicensed money transmitting business (18 USC § 1960); agreed forfeiture of \$823,357; sentencing (up to five years) to be February 11, 2019
U.S. v. Trapani	4/18/2018	S.D.N.Y.	18-mag-3271	15 U.S.C. §§ 78j(b), 78ff; 17 C.F.R. §§ 240,10b-5; 18 U.S.C. §§ 371, 1343, 1349, 2	
U.S. v. Kantor	4/10/2018	E.D.N.Y.	18-cr-0177	Conspiracy to commit wire fraud; obstruction; false statement; criminal forfeiture	
U.S. v. Sharma and Farkas	3/31/2018	S.D.N.Y.	18-mag-2695	15 U.S.C. §§ 78j(b), 78ff; 17 C.F.R. §§ 240,10b-5; 18 U.S.C. §§ 371, 1343, 1349, 2	
U.S. v. Montroll	2/20/2018	S.D.N.Y.	18-mag-1372 (sealed complaint)	18 U.S.C. § 1621; 18 U.S.C. § 1512(c)	
U.S. v. Kim	2/15/2018	N.D.Ill.	18-cr-107	18 U.S.C. § 1343	Guilty plea to one count of wire fraud; sentence of 15 months in prison ; \$1.14m in restitution

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
U.S. v. Mansy and TV TOYZ, LLC	12/4/2017	D. Me.	15-cr-198	18 U.S.C. § 1960	Denial of motion to dismiss Final Order of Forfeiture
U.S. v. Tetley	11/28/17	C.D.Ca.	17-cr-738	18 U.S.C. § 1960; 18 U.S.C. § 1956	Judgment and Probation/Commitment Order Final Order of Forfeiture (\$292,264; 25 gold bars; and 40 BTC)
U.S. v. Zaslavskiy	10/27/2017	E.D.N.Y.	17-cr-647	18 U.S.C. § 371 and 3551 <i>et seq.</i>	Order denying Defendant's motion to dismiss
U.S. v. Ong	8/16/2017	W.D.Wa.	17-cr-191	18 U.S.C. § 1956(a)(3)(B) and § 1960(b)(1)(A)	Judgment (20 days incarceration; over \$1 million in forfeiture) Final Order of Forfeiture
U.S. v. Garza	7/20/2017	D. Conn.	17-cr-158	18 U.S.C. §§ 1343 (wire fraud)	Judgment (sentence of 21 months; restitution of \$9,182,000)
U.S. v. Haddow	6/29/2017	S.D.N.Y.	17-cr-4939	18 U.S.C. §§ 1343 and 2 (wire fraud)	

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
U.S. v. BTC-E and Vinnick	1/17/2017	N.D.Ca.	16-cr-227	18 U.S.C. § 1960; 18 U.S.C § 1956(h); 18 U.S.C § 1956(a)(1); 18 U.S.C § 1957; 18 U.S.C § 982(a)(1)	FinCen civil money penalty (\$110 million as to BTC-e; \$12 million as to Vinnick)
U.S. v. Petix	12/4/2015	W.D.N.Y.	15-cr-227	18 U.S.C. § 1960	Denial of motion to dismiss Judgment Final order of forfeiture
U.S. v. Murgio, Lebedev, and Gross	7/21/2015	S.D.N.Y.	15-cr-769	18 U.S.C. § 371; 18 U.S.C. § 1960 and 2; 18 U.S.C. § 215(a); 18 U.S.C. § 1349; 18 U.S.C. § 1343; 18 U.S.C. § 1956(a)(2)(A)	Denial of motion to dismiss Defendants plead guilty; sentenced to prison
Florida v. Espinoza	2/6/2014 (approx.)	11 th Judicial Circuit, Miami-Dade County, Florida	F14-2923	§ 560.125(5)(a), Fla. Stat.; § 896.101(5)(a) and (5)(b) (revised to add virtual currency)	Case dismissed

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
U.S. v. Budovsky and Liberty Reserve	5/28/2013	S.D.N.Y.	13-cr-368	18 U.S.C § 1956(h) (conspiracy to commit money laundering); 18 U.S.C. § 371 (conspiracy to operate an unlicensed money transmission business); 18 U.S.C. § 1960 (operation of an unlicensed money transmission business)	Denial of motion to dismiss, to strike surplusage, and to compel Final order of forfeiture Second Final Order of Forfeiture
U.S. v. Faiella (aka BTCKing) and Shrem	1/24/2013	S.D.N.Y.	14-mag-0164	18 U.S.C. §§ 1960, 1956; 31 U.S.C. §§ 5318(g) & 5322(a)	Defendants both plead guilty ; Shrem sentenced to 2 years in prison, 3 years supervised release, and \$950,000 in forfeiture ; Faiella sentenced to 4 years in prison, 3 years supervised release, and \$950,000 in forfeiture

Other Regulatory Litigation and Proceedings

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
<u>FINRA Department of Enforcement v. Timothy Tilton Ayre</u>	9/11/2018	FINRA administrative proceeding	2016049307801	Exchange Act § 10(b) and Rule 10b-5; negligent misrepresentation; Securities Act § 5; NASD Rule 3040 & FINRA Rule 3280; (private securities transactions)	
<u>FTC v. Dluca (d/b/a Bitcoin Funding Team and My7Network) et al.</u>	2/20/2018	S.D.FI	18-cv-60379	15 U.S.C. § 45(a) (FTC Act § 5(a))	<u>Order granting Preliminary Injunction</u> <u>Order to Liquidate Cryptocurrency</u> <u>Order on Motion to Strike</u> <u>Order Denying Motion to Dismiss</u> <u>Contempt Order</u>
<u>In the Matter of Linda Healthcare Corporation Co. and Arturo Devesa</u>	5/2/2018	Colorado administrative proceeding	2018-CDS-022	C.R.S. § 11-51-201, 301	
<u>In the Matter of Broad Investments, LLC and Guoyong Liu</u>	5/2/2018	Colorado administrative proceeding	2018-CDS-021	C.R.S. § 11-51-201, 301	
<u>U.S. v. Coinbase</u>	3/16/2017	N.D.Cal.	17-cv-1431	Petition to enforce IRS summons	Petition <u>granted in part</u> , 11/29/17

Filing / order	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
FTC v. Equiliv Investments and Ryan Ramminger	6/29/2015	D.N.J.	14-cv-00815	15 U.S.C. § 45(a) (FTC Act § 5(a)); N.J.S.A. 56:8-1 et seq.	Stipulated Order for Permanent Injunction and Monetary Judgment
In re Ripple Labs	5/5/2015	FinCEN / USAO-N.D.Ca.		12 U.S.C. §§ 1829b, 1951-1959 and 31 U.S.C. §§ 5311-5314, 5316-5332 (Bank Secrecy Act)	
FTC v. BF Labs, Inc., d/b/a Butterfly Labs	9/15/2014	W.D.Mo.	14-cv-00815	15 U.S.C. § 45(a) (FTC Act § 5(a))	Stipulated Final Order for Permanent Injunction and Monetary Judgment

[Summary Suspensions / Cease and Desist Orders](#)

Filing / order	Filing Date	Court	Causes of Action / Relevant Authority
In the Matter of Zachary Coburn	11/8/2018	SEC Administrative Release	Section 5 of the Exchange Act
American Retail Group, Inc.	10/19/2018	SEC Administrative Release	Section 12(k) of the Exchange Act
In the Matter of Crypto Asset Management, LP and Timothy Enneking	9/11/2018	SEC Administrative Release	Section 8A of the Securities Act; Sections 203(c), 203(f), and 203(k) of the Investment Advisers Act; Section 9(f) of the Investment Company Act
Crystal Token	10/11/2018	North Dakota Securities Commissioner	North Dakota Century Code Chapter 10-04
Advertiza Holdings (Pty) Ltd.	10/11/2018	North Dakota Securities	North Dakota Century Code

Filing / order	Filing Date	Court	Causes of Action / Relevant Authority
		Commissioner	Chapter 10-04
Life Cross Coin a/k/a LifecrosscoinGmbH	10/11/2018	North Dakota Securities Commissioner	North Dakota Century Code Chapter 10-04
Bitconnect Ltd. and Bitconnect International plc	9/19/2018	North Dakota Securities Commissioner	North Dakota Century Code Chapter 10-04
Magma Foundation a/k/a Magma Coin a/k/a Magma	9/19/2018	North Dakota Securities Commissioner	North Dakota Century Code Chapter 10-04
In the Matter of TokenLot, LLC, Lenny Kugel, and Eli L. Lewitt	9/11/2018	SEC Administrative Release	Section 15(a) of the Exchange Act; Sections 5(a) and 5(c) of the Securities Act
In the matter of Bionic Coin; In the matter of Sybrellabs Ltd. (aka CryptoARB); In the matter of Global Pay Net (aka GLPN Coin and GPN Token)	8/27/2018	Colorado Division of Securities	[only the press release is currently available]
In the Matter of Tomahawk Exploration and David Thompson Laurence	8/14/2018	SEC Administrative Release	Sections 5(a) and 5(c) of the Securities Act; Section 10(b) of the Exchange Act and Rule 10b-5(b)
In the matter of Bitcoin Investments Ltd. (aka DB Capital); In the matter of EstateX; In the matter of Bitconnect Ltd.; In the matter of Magma Foundation (aka Magma Coin)	8/2/2018	Colorado Division of Securities	[only the press release is currently available]
In the Matter of LevelNet Inc.	5/28/2018	Vermont Department of Regulation, No. 18-030-S	9 V.S.A. §§ 5301, 5401(a), 5402(a); V.S.R § 3-1(c)(16)
In the Matter of Springcryptoinvest	5/21/2018	New Jersey Bureau of Securities	N.J.S.A. 49:3-56 and 49:3-52(b)

Filing / order	Filing Date	Court	Causes of Action / Relevant Authority
<u>In the Matter of Bullcoin Foundation a/k/a Bullcoin Gold</u>	5/21/2018	New Jersey Bureau of Securities	N.J.S.A. 49:3-56 and 49:3-52(b)
<u>In the Matter of Trident a/k/a Trident Crypto Index Fund</u>	5/21/2018	New Jersey Bureau of Securities	N.J.S.A. 49:3-56 and 49:3-52(b)
<u>In the Matter of ShipChain, Inc. (d/b/a ShipChain.io)</u>	5/21/2018 <u>C&D vacated 7/26/2018</u>	South Carolina State Securities Commissioner, No. 20182574	S.C. Code Ann. § 35-1-301, 35-1-401(a), 35-1-401(d)
<u>In the Matter of Browsers Lab, LLC</u>	5/21/2018	Securities Commissioner of Maryland Administrative Proceeding	M.S.A. § 11-501, 11-401, 11-402, 11-301
<u>In the Matter of Wind Wide Coin a/k/a WWC, Inc.</u>	5/15/2018	Texas State Securities Board, Order No. ENF-18-CDO-1764	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
<u>In the Matter of Adosia LLC and Kyle Solomon</u>	5/10/2018	North Carolina Secretary of State Securities Division, 18 ADM 007	N.C. Gen. Stat. §§78A-24; 78A-36
<u>In the Matter of Forex EA & Bitcoin Investment LLC a/k/a My Forex EA & Bitcoin Investment LLC a/k/a Forex EA</u>	5/8/2018	Texas State Securities Board, Order No. ENF-18-CDO-1763	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
<u>In the Matter of Bitcoin Trading & Cloud Mining Limited a/k/a BTCRUSH</u>	5/8/2018	Texas State Securities Board, Order No. ENF-18-CDO-1762	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
<u>In the Matter of Power Mining Pool</u>	5/2/2018	North Carolina Secretary of State Securities Division, 18 ADM 006	N.C. Gen. Stat. §§78A-24; 78A-36
<u>In the Matter of Sparkco, Inc. d/b/a Librium</u>	3/27/2018	Massachusetts Securities Division (consent order)	Mass. Gen. Laws ch. 110A § 301
<u>In the Matter of Pink Ribbon ICO</u>	3/27/2018	Massachusetts Securities Division (consent order)	Mass. Gen. Laws ch. 110A § 301
<u>In the Matter of Matternest, Inc.</u>	3/27/2018	Massachusetts Securities Division (consent order)	Mass. Gen. Laws ch. 110A § 301

Filing / order	Filing Date	Court	Causes of Action / Relevant Authority
In the Matter of Across Platforms, Inc. d/b/a ClickableTV	3/27/2018	Massachusetts Securities Division (consent order)	Mass. Gen. Laws ch. 110A § 301
In the Matter of 18Moons, Inc.	3/27/2018	Massachusetts Securities Division (consent order)	Mass. Gen. Laws ch. 110A § 301
In the matter of Swiss Gold Global, Inc. and Genesis Mining, Ltd.	3/9/2018	Securities Commissioner of South Carolina administrative proceeding	S.C. Code Ann. § 35-1-101 et seq.
In the Matter of Bitcoin a/k/a Bitcoin B2G	3/7/2018	New Jersey Bureau of Securities	N.J.S.A. 49:3
In the Matter of LeadInvest	2/26/2018	Texas State Securities Board, Order No. ENF-18-CDO-1760	Texas Securities Act § 23-2
In the Matter of Investors of Crypto LLC and Daniel Neves	2/26/2018	Texas State Securities Board, Order No. ENF-18-CDO-1759	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
Cherubim Interests, Inc. suspension of trading	2/15/2018	SEC administrative release	
PDX Partners, Inc. suspension of trading	2/15/2018	SEC administrative release	
Victura Construction Group, Inc. suspension of trading	2/15/2018	SEC administrative release	
In the Matter of DavorCoin	2/26/2018	Texas State Securities Board, Order No. ENF-18-CDO-1757	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
In the Matter of R2B Coin	1/24/2018	Texas State Securities Board, Order No. ENF-18-CDO-1756	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
In the Matter of Caviar and Kirill Bensonoff	1/17/2018	Massachusetts administrative proceeding	Mass. Gen. Laws ch. 110A § 201, § 301; Massachusetts Uniform Securities Act; 950 Mass. Code Regs 10.00-14.413
UBI Blockchain suspension of trading	1/5/2018	SEC administrative release	
Texas State Securities Board v.	1/4/2018	Texas State Securities Board, Order	Texas Securities Act § 23-2;

Filing / order	Filing Date	Court	Causes of Action / Relevant Authority
<u>Bitconnect</u>		No. ENF-18-CDO-1754	Texas Securities Act §§ 7, 12
<u>In the matter of Munchee Inc.</u>	12/11/2017	SEC administrative proceeding	Securities Act §§ 5(a) and 5(c)
<u>First Bitcoin Capital Corp. suspension of trading</u>	8/23/2017	SEC administrative release	
<u>CIAO Group, Inc. suspension of trading</u>	8/9/2017	SEC administrative release	
<u>Strategic Global Investments, Inc. suspension of trading</u>	8/3/2017	SEC administrative release	
<u>Sunshine Capital, Inc. suspension of trading</u>	4/11/2017	SEC administrative release	
<u>In the matter of Bitcoin Investment Trust and Secondmarket Inc.</u>	7/11/2016	SEC administrative proceeding	Regulation M
<u>In the matter of BFNXA Inc., d/b/a Bitfinex</u>	6/2/2016	CFTC administrative proceeding	CEA §§ 4(a) and 4d
<u>In the matter of Coinflip, Inc.</u>	9/17/2015	CFTC administrative proceeding	CEA §§ 4c(b) and 5h(a)(1) and Regulations 32.2 and 37.3(a)(1), 17 C.F.R. § 32.2 and 37.3(a)(1) (2014).
<u>In the matter of BTC Trading, Corp. and Ethan Burnside</u>	12/8/2014	SEC administrative proceeding	Sections 8A of the Securities Act; Section 15(b) and 21C of the Exchange Act; Section 9(b) of the Investment Company Act
<u>In the matter of Erik Vorhees (FeedZeBirds and SatoshiDICE)</u>	6/3/2014	SEC administrative proceeding	Securities Act § 8A
<u>Texas State Securities Board v. Balanced Energy LLC and Kirk Johnson</u>	3/10/2014	Texas State Securities Board, Order No. ENF-14-CD0-1731	Texas Securities Act § 23-2

Class Action and Other Private Litigation

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
<u>Bitmain v. Doe</u>	11/7/2018	W.D.Wa.	18-cv-1626	CFAA, 18 U.S.C. § 1030(a)(5)(A)(ii), (iii) (unauthorized access); Washington Cybercrime Act, CWA § 9A.90.040 (computer trespass in the second degree); Washington Cybercrime Act, CWA § 9A.90.100 (electronic data theft); conversion	
<u>Weathersbee v. Meenavalli et al. (Longfin)</u>	11/1/2018	S.D.N.Y.	18-cv-10182	Derivative complaint for breach of fiduciary duties; unjust enrichment; abuse of control; corporate waste	
<u>Fintz v. O'Rourke (Riot Blochchain)</u>	10/22/18	S.D.N.Y.	18-cv-9640	Derivative complaint for breach of fiduciary duties; unjust enrichment; corporate waste	

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[Confidential T-Mobile Customer] v. T-Mobile USA, Inc.	10/11/2018	American Arbitration Association	01-18-0003-7835	Declaratory judgment (unenforceability of T-Mobile consumer agmt. as unconscionable); breach of Fed. Comm. Act (47 U.S.C. §§ 206.222) (“FCA”); breach of implementing regs. of FCA (47 U.S.C. § 64.2001 et seq.); negligence; negligent misrepresentation; negligent training & supervision; breach of contract; breach of implied contract; breach of covenant of good faith and fair dealing	
[Confidential AT&T Customer] v. AT&T, Inc., AT&T Mobility, LLC a/k/a AT&T Wireless	10/11/2018	American Arbitration Association	01-18-0003-7841	Declaratory judgment (unenforceability of AT&T consumer agmt. as unconscionable); breach of Fed. Comm. Act (47 U.S.C. §§ 206.222) (“FCA”); breach of implementing regs. of FCA (47 U.S.C. § 64.2001 et seq.); negligence; negligent misrepresentation; negligent training & supervision; breach of contract; breach of implied contract; breach of covenant of good faith and fair dealing	

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
Wanlin Wang, Bibox Grou Holdings, Ltd. v. Wei Liu	10/10/2018	Supreme Court, New York County	655050/2018	Fraud; conversion; unjust enrichment; breach of contract; unfair competition; faithless servant; tortious interference; constructive trust; declaratory relief; permanent injunction	
Klingberg v. MGT Capital Investments et al.	9/28/2018	D.N.J.	18-cv-14380	Exchange Act § 10(b) and Rule 10b-5; Exchange Act § 9(a) and (f); Exchange Act § 20(a)	
Blockchain Luxembourg S.A. v. Paymium, SAS	9/20/2018	S.D.N.Y.	18-cv-8612	Trademark infringement; unfair competition; false advertising; deceptive acts and practices; injury to business reputation and dilution; misappropriation	
Rothesay Ltd., individually and derivatively on behalf of The Iconic Deo Volente Corp. v. Patrick Damien O'Brien et al.	9/12/2018	Del. Ch. Ct.	2018-0674	Breach of fiduciary duty (usurping corporate opportunity and self-dealing); Aiding and abetting breach of fiduciary duty; Intentional interference with business relations; Civil conspiracy; Unjust enrichment	
Winklevoss Capital Fund, LLC v. Shrem	9/11/2018	S.D.N.Y.	18-cv-08250	Breach of fiduciary duty; fraud; constructive trust; accounting	Order of prejudgment attachment Order denying Plaintiff's motion to confirm order of attachment

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
<u>Martinangeli v. Akerman, LLP</u>	9/4/2018	S.D.Fla.	18-cv-23607	Fraudulent inducement; negligent misrepresentations; negligent retention and supervision; constructive trust/disgorgement	<u>Order dismissing case</u>
<u>John Hastings, individually and on behalf of others similarly situated v. Unikrn, Inc., a Delaware Corporation; Unikrn Bermuda, Ltd, a Bermuda corporation; Rahul Sood, an individual, Karl Flores, an individual, and DOES 1-25</u>	8/13/18	Superior Court of Washington for King County	18-2-20306-6	Securities Act § 5; Securities Act § 12(a)(1); Securities Act § 15	

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
Michael Terpin v. AT&T INC.; AT&T Mobility, LLC; and DOES 1-25	8/15/2018	C.D.Ca	18-cv-6975	Declaratory relief; Unenforceability of consumer agreement as unconscionable and contrary to public policy; Federal Communications Act 47 U.S.C. §§ 206, 222 (Unauthorized disclosure of customer confidential proprietary information and proprietary network information); Cal. Penal Code § 502 <i>et seq.</i> (Assisting unlawful access to computer); Cal. Bus. & Prof. Code § 17200 <i>et seq.</i> (Unlawful business practice); Cal. Bus. & Prof. Code § 17200 <i>et seq.</i> (Fraudulent business practice); Cal. Civ. Code § 1750 <i>et seq.</i> (CA Consumer Legal Remedies Act); Cal. Civ. Code §§ 1709, 1710 (Deceit by concealment); Misrepresentation; Negligence; Negligent supervision and training; Negligent hiring; Breach of contract; Breach of covenant of good faith and fair dealing	

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
Johnsen v. Kirtz et al	8/2/2018	S.D.Fla.	18-cv-81019	Exchange Act § 10(b) and Rule 10b-5; Securities Act § 5; Texas Securities Act; Florida fraudulent transfer	
Gladius Network LLC v. Krypton Blockchain Holdings Ltd.	7/23/2018	D.D.C.	18-cv-1713	Declaratory judgment; misrepresentation	
Joseph Bents,derivatively on behalf of Longfin Corp. v. Venkat S Meenavalli; Vivek K Ratakonda; Ghanshyam Dass; Yogesh Patel; Linda M Gaddi; David Nichols; John Parker; Henry Wang; Longfin Corp.	6/26/2018	Supreme Court of New York, County of New York	653216/2018	Breach of fiduciary duty; Unjust enrichment; Abuse of control; Gross mismanagement; Waste of corporate assets	
Balestra v. Cloud With Me LTD., Gilad Somjen, and Asaf Zamir	6/19/2018	W.D.Pa.	05-mc-02025	Securities Act § 12(a)(1); Securities Act § 15(a)	
Founder Starcoin,Inc. v. Launch Labs Inc. (d/b/a Axiom Zen)	5/16/2018	S.D.Ca	08-cv-972	Breach of contract; trade secret misappropriation; intentional interference with prospective economic advantage; and unfair competition	Case closed following notice of voluntary dismissal
Denke v. Citibank	5/8/2018	S.D.N.Y.	18-cv-4133	15 U.S.C. § 1601 (Truth in Lending Act)	

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
Coffey v. Ripple Labs et al.	5/3/2018	Superior Court of California, San Francisco County (removed to N.D.Ca)	18-cv-03286	Securities Act § 5, § 12(a)(1); Cal. Corp. Code § 25110, 25503 (unregistered sale of securities); Securities Act § 15; Cal. Corp. Code § 25110, 25504 (control person liability)	Order denying plaintiff's motion to remand case to state court Voluntary Dismissal Without Prejudice
Chen Wei v. Longfin Corp., et al	4/19/2018	S.D.N.Y.	18-cv-3462	Exchange Act § 10(b) and Rule 10b-5; Exchange Act § 20(a)	Dismissal without prejudice
Tucker v. Chase Bank USA, N.A.	4/10/2018	S.D.N.Y.	18-cv-3155	Truth in Lending Act (15 U.S.C. § 1601 et seq.); Regulation Z	
Liston v. Peterson et al. (Forecast Foundation)	4/9/2018	Superior Court of California, San Francisco County	CGC-18-565915	Fraud, declaratory judgment, conspiracy to commit fraud, breach of contract, and breach of implied duty of good faith and fair dealing	Amended complaint as of 5/10/2018
Miller v. Longfin Corp. et al.	4/9/2018	S.D.N.Y.	18-cv-3121	Exchange Act § 10(b) and Rule 10b-5; Exchange Act § 20(a)	
Dunleavy v. Lux Vending, LLC	4/6/2018	S.D.Fl.	18-cv-21367	Telephone Consumer Protection Act (47 U.S.C. § 227)	Dismissal without prejudice
Brola v. Nano et al.	4/6/2018	E.D.N.Y.	18-cv-2049	Securities Act §§ 12(a)(1) and 15; negligent misrepresentation; unjust enrichment; civil conspiracy	Voluntary dismissal with prejudice

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
Alibaba Group Holding Ltd. v. Alibabacoin Foundation et al.	4/2/2018	S.D.N.Y.	18-cv-2897	Trademark infringement; unfair competition; false advertising; trademark dilution (federal and New York state)	Order denying Preliminary Injunction Opinion and Order Granting Renewed Application for Preliminary Injunction Opinion and Order denying Defendants' motion to dismiss
Greenhouse v. Polychain Fund I LP et al. (complaint currently unavailable)	3/26/2018	Del. Ch.	2018-0214	Records request (DGCL § 220)	
Moss v. Giga Watt	3/19/2018	E.D.Wa.	18-cv-100; 18-cv-103	Securities Act §§ 12(a)(1) and 15; Washington Securities Act RCW 21.20; rescission	Consolidation Order
Faasse v. Coinbase, Inc.	3/2/2018	N.D.Ca.	18-cv-1382	California's Unclaimed Property Law, Cal. Civ. Proc. Code §§ 1500, et seq.; Unlawful and Unfair Business Practices, Cal. Bus. Prof. Code §§ 17200; conversion	Dismissed sua sponte for lack of subject matter jurisdiction

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
Berk v. Coinbase, Inc.	3/1/2018	N.D.Ca.	18-cv-1364	California Unfair Competition Law; negligence and negligent misrepresentation, Commodities Exchange Act	Dismissed without prejudice (except for CEA claim, which was dismissed with prejudice)
Kleiman v. Wright	2/14/2018	S.D.Fl.	18-cv-80176	Conversion; misappropriation; replevin; breach of fiduciary duty; breach of partnership agreement; unjust enrichment	Order Granting Motion to Stay Discovery
Kline v. Bitconnect et al.	2/7/2018	M.D.Fl.	18-cv-319	Securities Act §§ 5(a) and 5(c); Securities Act §§ 12(a)(1) and 15; Securities Act § 17(a); Exchange Act § 20(a); common law fraud; aiding and abetting; FDUPTA; Fla. Stat. § 517.011; Rhode Island Gen. Laws § 6-13.1 et seq. (consumer protection); Rhode Island Gen. Laws § 7-11 (securities laws)	Transferred to S.D. Fl.
Tapang v. T-Mobile USA, Inc.	2/4/2018	W.D.Wa.	18-cv-167	FCA § 222; breach of contract; negligence; Washington Consumer Protection Act 19.86 et seq.; negligent hiring, retention, and supervision; negligent infliction of emotional distress	

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
Davy v. Paragon Coin, Inc.	1/30/2018	N.D.Ca.	18-cv-671	Securities Act §§ 12(a)(1) and 15(a)	Default Judgment entered as to defendant Taylor, aka “The Game”
Paige v. Bitconnect et al.	1/29/2018	W.D.Ky.	18-cv-58	Kentucky securities laws (KRS 292.310 et seq.); Securities Act §§ 5(a) and 5(c); breach of contract; fraud by concealment; Kentucky Consumer Protection Act, KRS 367.120	Order granting preliminary injunction against Defendant Ryan Maasen Order extending temporary restraining order as to Bitconnect Defendants
Wildes et al. v. Bitconnect Int’l PLC et al.	1/24/2018	S.D.Fl.	18-cv-80086	Securities Act §§ 5(a) and 5(c); Securities Act § 17(a); rescission; Fla. Stat. § 517.011; FDUPTA; fraudulent inducement; fraudulent misrepresentation; negligent misrepresentation; conversion; civil conspiracy	Order denying motion for temporary restraining order Consolidation Order
Hamel derivatively on behalf of The Crypto Company v. Poutre et al.	1/24/2018	C.D.Ca.	18-cv-616	Breach of fiduciary duty; unjust enrichment	Order transferring case to Judge Snyder
Peng Li Secretary of the Interior v. Xunlei Limited; Lei Chen; Eric Zhou; Tao Thomas Wu	1/18/2018	S.D.N.Y.	18-cv-646;	Exchange Act § 10(b) and Rule 10b-5; Exchange Act § 20(a)	Consolidation Order

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
Dookeran v. Xunlei Ltd.	1/18/2018	S.D.N.Y.	18-cv-467	Exchange Act § 10(b) and Rule 10b-5; Exchange Act § 20(a)	
Shaw v. Vircorex et al.	1/10/2018	D.Co.	18-cv-67	Breach of contract; conversion; constructive fraud; unjust enrichment	Default judgment entered against Defendants
Stormsmedia, LLC v. GigaWatt, Inc.	12/28/2017	E.D.Wa.	17-cv-438	Securities Act §§ 5(a) and 5(c); rescission	Case dismissed
Balestra v. ATBCoin LLC	12/21/2017	S.D.N.Y.	17-cv-10001	Securities Act §§ 12(a)(1) and 15(a)	
Shepherdson v. The Cyrpto Company	12/21/2017	C.D.Ca.	17-cv-9157	Exchange Act § 10(b) and Rule 10b-5; Exchange Act § 20(a)	Case dismissed
Hodges v. Monkey Capital	12/19/2017	S.D.Fl.	17-cv-81370	Securities Act §§ 5(a) and 5(c); Securities Act § 17(a); rescission; alter ego; Fla. Stat. § 517.011; FDUPTA	Final Default Judgment Final Judgment Awarding Damages
Rensel v. Centra Tech, Inc. et al.	12/13/2017	S.D.Fl.	17-cv-24500	Securities Act §§ 12(a)(1) and 15(a)	Order granting Plaintiff's renewed motion for TRO and finding that token is a security under <i>Howey</i> test.
MacDonald v. Dynamic Ledger Solutions et al. (Tezos)	12/13/2017	N.D.Ca.	17-cv-7095	Cal. Corp. Code § 25110; unfair competition	
Oksuko v. Dynamic Ledger Solutions et al. (Tezos)	11/28/2017	N.D.Ca.	17-cv-6829	Securities Act §§ 12(a)(1) and 15(a)	

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
GGCC v. Dynamic Ledger Solutions et al. (Tezos)	11/26/2017	N.D.Ca.	17-cv-6779	Securities Act §§ 5(a) and 5(c); Securities Act § 15	Motions to dismiss by Defendants Dynamic Ledger Solutions and Tezos Foundation denied; Motions to dismiss by Defendants Bitcoin Suisse and Draper granted
Gaviria v. Dynamic Ledger Solutions et al. (Tezos)	11/13/2017	M.D.FI.	17-cv-1959	Securities Act §§ 5(a) and 5(c); Securities Act § 17(a); rescission; alter ego; Fla. Stat. § 517.011; FDUPTA	Case dismissed without prejudice following Plaintiff's notice of voluntary dismissal
Confidential v. Payward, Inc. (Kraken)	10/31/2017	JAMS arbitration		Negligence; breach of contract; unjust enrichment; fraudulent inducement; fraudulent misrepresentation; negligent misrepresentation	
Baker v. Dynamic Ledger Solutions et al. (Tezos)	10/25/2017	Superior Court of California, County of San Francisco	CGC-17-562144	Securities Act §§ 5(a) and 5(c); Securities Act § 17(a); false advertising; unfair competition; alter ego	
R3 HoldCo LLC v. Ripple Labs, Inc.	9/8/2017	Delaware Chancery	2017-0652	Breach of contract; tortious interference with contract	Dismissal for lack of personal jurisdiction over an indispensable party

Filing name	Filing Date	Court	Docket #	Causes of Action / Relevant Authority	Rulings / Orders of Note
Leidel v. Coinbase, Inc.	12/13/2016	S.D.Fl.	16-cv-81992	Aiding and abetting breach of fiduciary duty; conversion; negligence; unjust enrichment	Denial of motion to compel arbitration Order granting Defendant's motion to stay litigation pending appeal Appellate order affirming denial of motion to compel arbitration
Leidel and Wilson v. Project Investors Inc. (Cryptsy)	12/13/2016	S.D.Fl.	16-cv-80060	Conversion; negligence; unjust enrichment; specific performance; FDUPTA; injunctive relief; fraudulent conveyance; civil conspiracy	Settlement Order
Bitstamp Ltd. v. Ripple Labs et al.	4/1/2015	N.D.Ca.	15-cv-1503	Interpleader	Joint stipulation and order to dismiss

Select U.S. Regulatory Pronouncements

Date	Topic
SEC	
10/19/2018	Request Form for FinTech-Related Meetings and Other Assistance
9/20/2018	Measuring the Impact of the SEC’s Enforcement Program (Stephanie Avakian, Co-Director, Division of Enforcement)
9/20/2018	Statement on Order of Suspension of Trading of Certain Bitcoin/Ether Tracking Certificates (Division of Trading and Markets and Division of Corporation Finance)
8/22/2018	Self-Regulatory Organizations; NYSE Arca, Inc.; Order Disapproving a Proposed Rule Change to List and Trade the Shares of the ProShares Bitcoin ETF and the ProShares Short Bitcoin ETF
8/22/2018	Self-Regulatory Organizations; Cboe BZX Exchange, Inc.; Order Disapproving a Proposed Rule Change to List and Trade the Shares of the GraniteShares Bitcoin ETF and the GraniteShares Short Bitcoin ETF
8/22/2018	Self-Regulatory Organizations; NYSE Arca, Inc.; Order Disapproving a Proposed Rule Change Relating to Listing and Trading of the Direxion Daily Bitcoin Bear 1X Shares, Direxion Daily Bitcoin 1.25X Bull Shares, Direxion Daily Bitcoin 1.5X Bull Shares, Direxion Daily Bitcoin 2X Bull Shares, and Direxion Daily Bitcoin 2X Bear Shares Under NYSE Arca Rule 8.200-E
8/7/2018	Self-Regulatory Organizations; Cboe BZX Exchange, Inc.; Notice of Designation of a Longer Period for Commission Action on a Proposed Rule Change to List and Trade Shares of SolidX Bitcoin Shares Issued by the VanEck SolidX Bitcoin Trust
7/26/2018	Self-Regulatory Organizations; Bats BZX Exchange, Inc.; Order Setting Aside Action by Delegated Authority and Disapproving a Proposed Rule Change, as Modified by Amendments No. 1 and 2, to List and Trade Shares of the Winklevoss Bitcoin Trust

Date	Topic
6/15/2018	<u>Self-Regulatory Organizations; NYSE Arca, Inc.; Notice of Designation of a Longer Period for Commission Action on Proceedings to Determine Whether to Approve or Disapprove a Proposed Rule Change to List and Trade the Shares of the ProShares Bitcoin ETF and the ProShares Short Bitcoin ETF under NYSE Arca Rule 8.200-E, Commentary .02</u>
6/14/2018	<u>Digital Asset Transactions: When Howey Met Gary (Plastic) (speech by William Hinman, Director, Division of Corporation Finance)</u>
5/16/2018	<u>Statement from the Co-Directors, Division of Enforcement, re: Oversight of the SEC’s Division of Enforcement</u>
5/15/2018	<u>“HoweyCoins” website (SEC Investor Advisory)</u>
4/23/2018	<u>Order Instituting Proceedings to Determine Whether to Approve or Disapprove a Proposed Rule Change Relating to Listing and Trading of the Direxion Daily Bitcoin Bear 1X Shares, Direxion Daily Bitcoin 1.25X Bull Shares, Direxion Daily Bitcoin 1.5X Bull Shares, Direxion Daily Bitcoin 2X Bull Shares and Direxion Daily Bitcoin 2X Bear Shares Under NYSE Arca Rule 8.200-E</u>
4/5/2018	<u>Order Instituting Proceedings to Determine Whether to Approve or Disapprove a Proposed Rule Change to List and Trade the Shares of the GraniteShares Bitcoin ETF and the GraniteShares Short Bitcoin ETF Under BZX Rule 14.11(f)(4), Trust Issued Receipts</u>
3/23/2018	<u>Order Instituting Proceedings to Determine Whether to Approve or Disapprove a Proposed Rule Change to List and Trade the Shares of the ProShares Bitcoin ETF and the ProShares Short Bitcoin ETF under NYSE Arca Rule 8.200-E, Commentary .02</u>
3/7/2018	<u>Statement on Potentially Unlawful Online Platforms for Trading Digital Assets</u>
2/6/2018	<u>Written Testimony of Jay Clayton Before the United States Senate Committee on Banking, Housing, and Urban Affairs: “Virtual Currencies: The Oversight Role of the U.S. Securities and Exchange Commission and the U.S. Commodity Futures Trading Commission”</u>
1/25/2018	<u>Statement by SEC Chairman Jay Clayton and CFTC Chairman J. Christopher Giancarlo: Regulators are Looking at Cryptocurrency</u>
1/22/2018	<u>Opening Remarks At The Securities Regulation Institute</u>

Date	Topic
1/19/2018	Joint statement from CFTC and SEC Enforcement Directors Regarding Virtual Currency Enforcement Actions
12/11/2017	Statement on Cryptocurrencies and Initial Coin Offerings
11/8/2017	Governance and Transparency at the Commission and in Our Markets
11/1/2017	Statement on Potentially Unlawful Promotion of Initial Coin Offerings and Other Investments by Celebrities and Others
8/28/2017	Investor Alert: Public Companies Making ICO-Related Claims
7/25/2017	SEC Issues Investigative Report Concluding DAO Tokens, a Digital Asset, Were Securities
5/07/2014	Investor Alert: Bitcoin and Other Virtual Currency-Related Investments
CFTC	
5/21/2018	Advisory with respect to Virtual Currency Derivative Product Listings
2/15/2018	Customer Advisory: Beware Virtual Currency Pump-and-Dump Schemes
2/6/2018	Written testimony of J. Christopher Giancarlo Before the United States Senate Committee on Banking, Housing, and Urban Affairs
1/19/2018	Joint statement from CFTC and SEC Enforcement Directors Regarding Virtual Currency Enforcement Actions
1/4/2018	Explanation of Oversight and Approach to Virtual Currency Markets
12/15/2017	Retail Commodity Transactions Involving Virtual Currency
12/15/2017	Customer Advisory: Understand the Risks of Virtual Currency Trading
12/1/2017	CFTC Backgrounder on Self-Certified Contracts for Bitcoin Products
10/17/2017	A CFTC Primer on Virtual Currencies
FINRA	
7/6/2018	FINRA Encourages Firms to Notify FINRA if They Engage in Activities Related to Digital Assets

Date	Topic	
1/8/2018	2018 Regulatory and Examination Priorities Letter	
New York State Attorney General's Office		
9/18/2018	Virtual Markets Integrity Initiative Report	
4/17/2018	A.G. Schneiderman Launches Inquiry Into Cryptocurrency "Exchanges"	
New York State Department of Financial Services		
9/10/2018	Press Release by DFS Announcing that DFS Has Authorized Gemini Trust Company LLC and Paxos Trust Company LLC to Each Offer Price-Stable Cryptocurrency Pegged to U. S. Dollar	
7/31/2018	Statement By DFS Superintendent Maria T. Vullo on Treasury's Endorsement of Regulatory Sandboxes for FinTech Companies and the OCC's Decision to Accept FinTech Charter Applications	
2/7/2018	Guidance on Prevention of Market Manipulation and Other Wrongful Activity	
8/8/2015	NYCRR 23:200: Virtual Currencies (BitLicense Regulations)	
Office of the Director of National Intelligence		
9/2017	Risks and Vulnerabilities of Virtual Currency Cryptocurrency as a Payment Method	
Other Regulatory Announcements		
FinCEN	8/9/2018	Prepared Remarks of FinCEN Director Kenneth A. Blanco, delivered at the 2018 Chicago-Kent Block (Legal) Tech Conference
National Futures Association	7/20/2018	Proposed Interpretive Notice: Disclosure Requirements for NFA Members Engaging in Virtual Currency Activities
North American Securities Administrators Association	5/21/2018	State and Provincial Securities Regulators Conduct Coordinated International Crypto Crackdown

Date	Topic	
Texas State Securities Board	4/10/2018	Widespread Fraud Found In Cryptocurrency Offerings
Executive Order	3/19/2018	Executive Order on Taking Additional Steps to Address the Situation in Venezuela
FTC	3/16/2018	It's time for a FTC Blockchain Working Group
FinCEN	2/13/2018	Oversight and Enforcement Capabilities of FinCEN over Virtual Currency Financial Activities Letter
FinCEN	10/27/2014	Request for Administrative Ruling on the Application of FinCEN's Regulations to a Virtual Currency Payment System
FinCEN	1/30/2014	Application of FinCEN's Regulations to Virtual Currency Mining Operations

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- [“Insider Trading and Cryptocurrency: A Primer for Traders”](#), *Bloomberg Law – Securities Regulation & Law Report* (February 19, 2018)
- [“SEC, CFTC Testify to Senate Banking Committee About Hopes, Fears, and Future Actions on Cryptocurrencies”](#), *PaymentsJournal* (February 7, 2018)
- ["For Blockchain, a Coming Wave of Change?"](#), *The National Law Journal* (October 1, 2017)