

MoCo Cryptocurrency Litigation Tracker - Cease and Desist Orders / Settlement Orders / Summary Suspensions Section

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Cease and Desist Orders / Settlement Orders / Summary Suspensions

Filing / order	Filing Date	Court	Causes of Action / Relevant Authority
<u>In the Matter of Blockchain of Things, Inc.</u>	12/18/2019	SEC Administrative Release	Sections 5(a) and 5(c) of the Securities Act
<u>In the Matter of XBT Corp Sarl d/b/a First Global Credit</u>	10/31/2019	CFTC Administrative Release	Section 4d(a)(1) of the CEA
<u>In the Matter of XBT Corp Sarl d/b/a First Global Credit</u>	10/31/2019	SEC Administrative Release	Section 5(e) of the Securities Act; Sections 6(1) and 15(a) of the Exchange Act
<u>In the Matter of PK Crypto Investment</u>	10/14/2019	Texas State Securities Board	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act; Fraud
<u>In the Matter of Crypto Miner Limited and Elizabeth Frazier</u>	10/14/2019	Texas State Securities Board	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act; Fraud
<u>In the Matter of Nebulous</u>	9/30/2019	SEC Administrative Release	Sections 5(a) and 5(c) of the Securities Act
<u>In the Matter of Block.one</u>	9/30/2019	SEC Administrative Release	Sections 5(a) and 5(c) of the Securities Act
<u>In the Matter of ICO Rating</u>	8/20/2019	SEC Administrative Release	Section 17(b) of the Securities Act
<u>In the Matter of SimplyVital Health, Inc.</u>	8/12/2019	SEC Administrative Release	Sections 5(a) and 5(c) of the Securities Act
<u>In the Matter of Zoptax LLC</u>	8/7/2019	New Jersey Bureau of Securities	N.J.S.A. 49:3

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<u>In the Matter of Unocall</u>	8/7/2019	New Jersey Bureau of Securities	N.J.S.A. 49:3
<u>In the Matter of Forex and Bitcoin Trader AKA Forex & Bitcoin Traders AKA FX & Bitcoin Trader AKA FX & Bitcoin</u>	8/6/2019	Texas State Securities Board Administrative Proceeding, Order No. ENF-19-CDO-1785	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act; fraud
<u>In the Matter of Mikhail Rania Safiya</u>	6/28/2019	Texas State Securities Board Administrative Proceeding, Order No. ENF-19-CDO-1779	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act; fraud
<u>In the Matter of Madeline O'Farrell</u>	6/28/2019	Texas State Securities Board Administrative Proceeding, Order No. ENF-19-CDO-1780	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act; fraud
<u>In the Matter of TintXMiningPool and Maxi Samantha Fortune</u>	6/28/2019	Texas State Securities Board Administrative Proceeding, Order No. ENF-19-CDO-1781	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act; fraud
<u>In the Matter of NextBlock Global Ltd. and Alex Tapscott</u>	5/14/2019	<u>SEC Administrative Release Ontario Securities Commission</u>	Section 17(a)(2) of the Securities Act
<u>In the Matter of Bitcoin Generation, Inc.</u>	4/29/2019	SEC Administrative Release	Section 12(k) of the Exchange Act of 1934
<u>In the Matter of FxBitGlobe Ltd.</u>	4/9/2019	Texas State Securities Board Administrative Proceeding, Order No. ENF-19-CDO-1776	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act
<u>In the Matter of Mintage Mining, LLC, et al.</u>	2/21/2019	Texas State Securities Board Administrative Proceeding, Order No. ENF-19-CDO-1774	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act
<u>In the Matter of Gladius Network LLC</u>	2/20/2019	SEC Administrative Release	Section 5(a) & 5(c) of the Securities Act
<u>In the Matter of CoinAlpha Advisors LLC</u>	12/7/2018	SEC Administrative Release	Section 5(a) & 5(c) of the Securities Act

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<u>In the Matter of Floyd Mayweather, Jr.</u>	11/29/2018	SEC Administrative Release	Section 17(b) of the Securities Act - disgorgement of \$300,000, prejudgment interest of \$14,775.67, and a civil money penalty in the amount of \$300,000
<u>In the Matter of Khaled Khaled</u>	11/29/2018	SEC Administrative Release	Section 17(b) of the Securities Act - disgorgement of \$50,000, prejudgment interest of \$2,725.72, and a civil money penalty in the amount of \$100,000
<u>In the Matter of My Crypto Mine and Mark Steven Royer</u>	11/27/2018	Texas State Securities Board Administrative Proceeding, Order No. ENF-18-CDO-1773	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act; Fraud
<u>In the Matter of Paragon Coin, Inc.</u>	11/16/2018	SEC Administrative Release	Section 5(a) & 5(c) of the Securities Act
<u>In the Matter of CarrierEQ, Inc., d/b/a AirFox</u>	11/16/2018	SEC Administrative Release	Section 5(a) & 5(c) of the Securities Act
<u>In the Matter of Zachary Coburn (Etherdelta founder)</u>	11/8/2018	SEC Administrative Release	Section 5 of the Exchange Act
<u>In the Matter of Exy Crypto AKA Execrypto et al.</u>	11/6/2018	Texas State Securities Board Administrative Proceeding, Order No. ENF-18-CDO-1772	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act; Fraud
<u>In the Matter of AWS Mining Pty LTD</u>	11/6/2018	Texas State Securities Board Administrative Proceeding, Order No. ENF-18-CDO-1771	Section 7 of the Texas Securities Act; Section 12 of the Texas Securities Act; Fraud
<u>American Retail Group, Inc.</u>	10/19/2018	SEC Administrative Release	Section 12(k) of the Exchange Act

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<u>In the Matter of Crypto Asset Management, LP and Timothy Enneking</u>	9/11/2018	SEC Administrative Release	Section 8A of the Securities Act; Sections 203(c), 203(f), and 203(k) of the Investment Advisers Act; Section 9(f) of the Investment Company Act
<u>Crystal Token</u>	10/11/2018	North Dakota Securities Commissioner	North Dakota Century Code Chapter 10-04
<u>Advertiza Holdings (Pty) Ltd.</u>	10/11/2018	North Dakota Securities Commissioner	North Dakota Century Code Chapter 10-04
<u>Life Cross Coin a/k/a LifecrosscoinGmbH</u>	10/11/2018	North Dakota Securities Commissioner	North Dakota Century Code Chapter 10-04
<u>Bitconnect Ltd. and Bitconnect International plc</u>	9/19/2018	North Dakota Securities Commissioner	North Dakota Century Code Chapter 10-04
<u>Magma Foundation a/k/a Magma Coin a/k/a Magma</u>	9/19/2018	North Dakota Securities Commissioner	North Dakota Century Code Chapter 10-04
<u>In the Matter of TokenLot, LLC, Lenny Kugel, and Eli L. Lewitt</u>	9/11/2018	SEC Administrative Release	Section 15(a) of the Exchange Act; Sections 5(a) and 5(c) of the Securities Act
<u>In the Matter of CrowdShare Mining</u>	9/28/2018	Colorado Division of Securities	Colorado Revised Statutes §§ 11-51-301 (sale of unregistered securities), 11-51-501(1) (securities fraud)
<u>In the Matter of Cyber Capital Invest (a/k/a CCI Token)</u>	8/30/2018	Colorado Division of Securities	Colorado Revised Statutes §§ 11-51-301 (sale of unregistered securities), 11-51-501(1) (securities fraud)
<u>In the Matter of Cred (dba Credits LLC)</u>	8/30/2018	Colorado Division of Securities	Colorado Revised Statutes §§ 11-51-301 (sale of unregistered securities), 11-51-501(1) (securities fraud)

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In the matter of Global Pay Net (aka GLPN Coin and GPN Token)	8/10/2018	Colorado Division of Securities	Colorado Revised Statutes §§ 11-51-301 (sale of unregistered securities), 11-51-501(1) (securities fraud)
In the matter of Bionic Coin; In the matter of Sybrelabs Ltd. (aka CryptoARB)	8/10/2018	Colorado Division of Securities	Colorado Revised Statutes §§ 11-51-301 (sale of unregistered securities), 11-51-501(1) (securities fraud)
In the Matter of Tomahawk Exploration and David Thompson Laurence	8/14/2018	SEC Administrative Release	Sections 5(a) and 5(c) of the Securities Act; Section 10(b) of the Exchange Act and Rule 10b-5(b)
In the matter of Bitcoin Investments Ltd. (aka DB Capital); In the matter of EstateX; In the matter of Bitconnect Ltd.; In the matter of Magma Foundation (aka Magma Coin)	8/2/2018	Colorado Division of Securities	[press release available]
In the Matter of LevelNet Inc.	5/28/2018	Vermont Department of Regulation, No. 18-030-S	9 V.S.A. §§ 5301, 5401(a), 5402(a); V.S.R § 3-1(c)(16)
In the Matter of Springcryptoinvest	5/21/2018	New Jersey Bureau of Securities	N.J.S.A. 49:3-56 and 49:3-52(b)
In the Matter of Bullcoin Foundation a/k/a Bullcoin Gold	5/21/2018	New Jersey Bureau of Securities	N.J.S.A. 49:3-56 and 49:3-52(b)
In the Matter of Trident a/k/a Trident Crypto Index Fund	5/21/2018	New Jersey Bureau of Securities	N.J.S.A. 49:3-56 and 49:3-52(b)
In the Matter of ShipChain, Inc. (d/b/a ShipChain.io)	5/21/2018 C&D vacated 7/26/2018	South Carolina State Securities Commissioner, No. 20182574	S.C. Code Ann. § 35-1-301, 35-1-401(a), 35-1-401(d)

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<u>In the Matter of Browsers Lab, LLC</u>	5/21/2018	Securities Commissioner of Maryland Administrative Proceeding	M.S.A. § 11-501, 11-401, 11-402, 11-301
<u>In the Matter of Wind Wide Coin a/k/a WWC, Inc.</u>	5/15/2018	Texas State Securities Board, Order No. ENF-18-CDO-1764	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
<u>In the Matter of Adosia LLC and Kyle Solomon</u>	5/10/2018	North Carolina Secretary of State Securities Division, 18 ADM 007	N.C. Gen. Stat. §§78A-24; 78A-36
<u>In the Matter of Forex EA & Bitcoin Investment LLC a/k/a My Forex EA & Bitcoin Investment LLC a/k/a Forex EA</u>	5/8/2018	Texas State Securities Board, Order No. ENF-18-CDO-1763	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
<u>In the Matter of Bitcoin Trading & Cloud Mining Limited a/k/a BTCRUSH</u>	5/8/2018	Texas State Securities Board, Order No. ENF-18-CDO-1762	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
<u>In the Matter of Power Mining Pool</u>	5/2/2018	North Carolina Secretary of State Securities Division, 18 ADM 006	N.C. Gen. Stat. §§78A-24; 78A-36
<u>In the Matter of Sparkco, Inc. d/b/a Librium</u>	3/27/2018	Massachusetts Securities Division (consent order)	Mass. Gen. Laws ch. 110A § 301
<u>In the Matter of Pink Ribbon ICO</u>	3/27/2018	Massachusetts Securities Division (consent order)	Mass. Gen. Laws ch. 110A § 301
<u>In the Matter of Mattervest, Inc.</u>	3/27/2018	Massachusetts Securities Division (consent order)	Mass. Gen. Laws ch. 110A § 301
<u>In the Matter of Across Platforms, Inc. d/b/a ClickableTV</u>	3/27/2018	Massachusetts Securities Division (consent order)	Mass. Gen. Laws ch. 110A § 301
<u>In the Matter of 18Moons, Inc.</u>	3/27/2018	Massachusetts Securities Division (consent order)	Mass. Gen. Laws ch. 110A § 301
<u>In the matter of Swiss Gold Global, Inc. and Genesis Mining, Ltd.</u>	3/9/2018	Securities Commissioner of South Carolina administrative proceeding	S.C. Code Ann. § 35-1-101 et seq.
<u>In the Matter of Bitcoin a/k/a Bitcoin B2G</u>	3/7/2018	New Jersey Bureau of Securities	N.J.S.A. 49:3

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<u>In the Matter of LeadInvest</u>	2/26/2018	Texas State Securities Board, Order No. ENF-18-CDO-1760	Texas Securities Act § 23-2
<u>In the Matter of Investors of Crypto LLC and Daniel Neves</u>	2/26/2018	Texas State Securities Board, Order No. ENF-18-CDO-1759	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
<u>Cherubim Interests, Inc. suspension of trading</u>	2/15/2018	SEC administrative release	
<u>PDX Partners, Inc. suspension of trading</u>	2/15/2018	SEC administrative release	
<u>Victura Construction Group, Inc. suspension of trading</u>	2/15/2018	SEC administrative release	
<u>In the Matter of DavorCoin</u>	2/26/2018	Texas State Securities Board, Order No. ENF-18-CDO-1757	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
<u>In the Matter of R2B Coin</u>	1/24/2018	Texas State Securities Board, Order No. ENF-18-CDO-1756	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
<u>In the Matter of Caviar and Kirill Bensonoff</u>	1/17/2018	Massachusetts administrative proceeding	Mass. Gen. Laws ch. 110A § 201, § 301; Massachusetts Uniform Securities Act; 950 Mass. Code Regs 10.00-14.413
<u>UBI Blockchain suspension of trading</u>	1/5/2018	SEC administrative release	
<u>Texas State Securities Board v. Bitconnect</u>	1/4/2018	Texas State Securities Board, Order No. ENF-18-CDO-1754	Texas Securities Act § 23-2; Texas Securities Act §§ 7, 12
<u>In the matter of Munchee Inc.</u>	12/11/2017	SEC administrative proceeding	Securities Act §§ 5(a) and 5(c)
<u>First Bitcoin Capital Corp. suspension of trading</u>	8/23/2017	SEC administrative release	
<u>CIAO Group, Inc. suspension of trading</u>	8/9/2017	SEC administrative release	
<u>Strategic Global Investments, Inc. suspension of trading</u>	8/3/2017	SEC administrative release	

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Sunshine Capital, Inc. suspension of trading	4/11/2017	SEC administrative release	
In the matter of Bitcoin Investment Trust and Secondmarket Inc.	7/11/2016	SEC administrative proceeding	Regulation M
In the matter of BFNXA Inc., d/b/a Bitfinex	6/2/2016	CFTC administrative proceeding	CEA §§ 4(a) and 4d
In the matter of Coinflip, Inc.	9/17/2015	CFTC administrative proceeding	CEA §§ 4c(b) and 5h(a)(1) and Regulations 32.2 and 37.3(a)(1), 17 C.F.R. § 32.2 and 37.3(a)(1) (2014).
In the matter of BTC Trading, Corp. and Ethan Burnside	12/8/2014	SEC administrative proceeding	Sections 8A of the Securities Act; Section 15(b) and 21C of the Exchange Act; Section 9(b) of the Investment Company Act
In the matter of Erik Vorhees (FeedZeBirds and SatoshiDICE)	6/3/2014	SEC administrative proceeding	Securities Act § 8A
Texas State Securities Board v. Balanced Energy LLC and Kirk Johnson	3/10/2014	Texas State Securities Board, Order No. ENF-14-CD0-1731	Texas Securities Act § 23-2

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